

Replacing Rewrite Rules by Equational Axioms in the $\lambda\Pi$ -Calculus Modulo Theory

LMF PhD Seminar

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A proof of $2 + 2 = 4$

- Axioms

$$x + \text{succ } y = \text{succ } (x + y)$$

$$x + 0 = x$$

- Deduction

$$\begin{aligned} 2 + 2 &:= \text{succ}^2 0 + \text{succ}^2 0 \\ &= \text{succ } (\text{succ}^2 0 + \text{succ } 0) \\ &= \text{succ}^2 (\text{succ}^2 0 + 0) \\ &= \text{succ}^2 (\text{succ}^2 0) \\ &:= 4 \end{aligned}$$

Another proof of $2 + 2 = 4$

- For Poincaré, deriving $2 + 2 = 4$ is not a meaningful proof, but a **simple verification**

- Rewrite rules

$$x + \text{succ } y \hookrightarrow \text{succ } (x + y)$$

$$x + 0 \hookrightarrow x$$

- Computation

$$\begin{aligned} 2 + 2 & := \text{succ}^2 0 + \text{succ}^2 0 \\ & \equiv \text{succ } (\text{succ}^2 0 + \text{succ } 0) \\ & \equiv \text{succ}^2 (\text{succ}^2 0 + 0) \\ & \equiv \text{succ}^2 (\text{succ}^2 0) \\ & := 4 \end{aligned}$$

so $2 + 2 = 4$ using the reflexivity of equality

Equational axioms or rewrite rules?

Logical systems with equational axioms

$$\begin{aligned}x + \text{succ } y &= \text{succ } (x + y) \\ x + 0 &= x\end{aligned}$$

We **prove** that $2 + 2 = 4$

Logical systems with rewrite rules

$$\begin{aligned}x + \text{succ } y &\hookrightarrow \text{succ } (x + y) \\ x + 0 &\hookrightarrow x\end{aligned}$$

We **compute** that $(2 + 2 = 4) \equiv (4 = 4)$

Equational axioms or rewrite rules?

Logical systems with equational axioms

$$\begin{aligned}x + \text{succ } y &= \text{succ } (x + y) \\ x + 0 &= x\end{aligned}$$

We **prove** that $2 + 2 = 4$

If $\ell : \text{list } (2 + 2)$
but not necessarily $\ell : \text{list } 4$

Logical systems with rewrite rules

$$\begin{aligned}x + \text{succ } y &\hookrightarrow \text{succ } (x + y) \\ x + 0 &\hookrightarrow x\end{aligned}$$

We **compute** that $(2 + 2 = 4) \equiv (4 = 4)$

If $\ell : \text{list } (2 + 2)$
then $\ell : \text{list } 4$

Equational axioms or rewrite rules?

Logical systems with equational axioms

$$\begin{aligned}x + \text{succ } y &= \text{succ } (x + y) \\x + 0 &= x\end{aligned}$$

We **prove** that $2 + 2 = 4$

If $\ell : \text{list } (2 + 2)$
then $\text{transp } e \ell : \text{list } 4$
with $e : 2 + 2 = 4$ and
 $\text{transp} : (2 + 2 = 4) \rightarrow \text{list } (2 + 2) \rightarrow \text{list } 4$

Logical systems with rewrite rules

$$\begin{aligned}x + \text{succ } y &\hookrightarrow \text{succ } (x + y) \\x + 0 &\hookrightarrow x\end{aligned}$$

We **compute** that $(2 + 2 = 4) \equiv (4 = 4)$

If $\ell : \text{list } (2 + 2)$
then $\ell : \text{list } 4$

The $\lambda\Pi$ -calculus modulo theory

- The $\lambda\Pi$ -calculus modulo theory [Cousineau and Dowek, 2007]
 - = λ -calculus
 - + dependent types
 - + rewrite rules
- **Logical framework**
 - Possible to express many theories
 - Application: proof interoperability
 - Implemented in DEDUKTI [Assaf et al, 2016]
- User-friendly framework
 - **Deduction** \rightarrow user
 - **Computation** \rightarrow system

- Theoretical motivation: Is a result **provable** with rewrite rules also provable with axioms?
- Practical motivation: **Interoperability** between proof systems via DEDUKTI
- Contribution [FoSSaCS 2024]

Rewrite rules **can be replaced** by equational axioms
in the $\lambda\Pi$ -calculus modulo theory with a prelude encoding

- **Deduction modulo theory** = first-order **predicate logic** + rewrite rules
↔ Rewrite rules can be replaced by axioms [Dowek et al, 2003]
- Translations of **extensional** type theory into **intensional** type theory [Oury, 2005, Winterhalter et al, 2019]
 - In extensional type theory, $\ell = r$ entails $\ell \equiv r$
 - In the $\lambda\Pi$ -calculus modulo theory, $\ell \hookrightarrow r$ entails $\ell \equiv r$

Outline

The $\lambda\Pi$ -calculus modulo theory

- Syntax and type system

- Prelude encoding

Equality

- Equality between objects

- Equality between types

Replacing rewrite rules by equational axioms

- Translation

- Main result

Conclusion

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■ Syntax

Sorts	$s ::= \text{TYPE} \mid \text{KIND}$
Terms	$t, u, A, B ::= c \mid x \mid s \mid \Pi x : A. B \mid \lambda x : A. t \mid t u$
Signatures	$\Sigma ::= \langle \rangle \mid \Sigma, c : A \mid \Sigma, l \hookrightarrow r$
Contexts	$\Gamma ::= \langle \rangle \mid \Gamma, x : A$

$\Pi x : A. B$ written $A \rightarrow B$ if x not in B

■ Careful!

- **No identity types**
- **Finite hierarchy of sorts** $\text{TYPE} : \text{KIND}$

- $\hookrightarrow_{\beta\Sigma}$ is generated by β -reduction and the rewrite rules of Σ
- Theory \mathcal{T} defined by a signature Σ such that:
 - for each $\ell \hookrightarrow r \in \Sigma$, the constants that occur in ℓ and r belong to Σ
 - the relation $\hookrightarrow_{\beta\Sigma}$ is confluent
 - each rule of Σ preserves typing

$$\frac{\Gamma \vdash A : \text{TYPE} \quad \Gamma, x : A \vdash B : s}{\Gamma \vdash \Pi x : A. B : s} \text{ [PROD]}$$

$$\frac{\Gamma \vdash A : \text{TYPE} \quad \Gamma, x : A \vdash B : s \quad \Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x : A. t : \Pi x : A. B} \text{ [ABS]}$$

$$\frac{\Gamma \vdash t : \Pi x : A. B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B[x \mapsto u]} \text{ [APP]}$$

■ Conversion rule

$$\frac{\Gamma \vdash t : A \quad (\Gamma \vdash A : s) \equiv (\Gamma \vdash B : s)}{\Gamma \vdash t : B} \text{ [CONV]}$$

- **Convertibility rules** for building $(\Gamma \vdash u : A) \equiv (\Delta \vdash v : B)$
 - Generated by β -reduction and the rewrite rules of Σ
 - Closed by context, reflexive, symmetric and transitive

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Encoding of the notions of proposition and proof

- Encoding Σ_{pre} of the notions of **proposition** and **proof** [Blanqui et al, 2023]
 \hookrightarrow Always used in practice
- Universe of **sorts** Set with injection $El : Set \rightarrow \text{TYPE}$
 \hookrightarrow Sort of propositions o , proposition P of type $El\ o$
- Universe of **propositions** $El\ o$ with injection $Prf : El\ o \rightarrow \text{TYPE}$
 \hookrightarrow A proof of P is of type $Prf\ P$

Rewrite rules of the encoding

- Desired behaviour:

- Functionality $El (a \rightsquigarrow b) \leftrightarrow El a \rightarrow El b$
- Implication $Prf (a \Rightarrow b) \leftrightarrow Prf a \rightarrow Prf b$
- Universal quantifier $Prf (\forall a b) \leftrightarrow \Pi z : El a. Prf (b z)$

- Four constants and rewrite rules

$$El (a \rightsquigarrow_d b) \leftrightarrow \Pi z : El a. El (b z)$$

$$Prf (a \Rightarrow_d b) \leftrightarrow \Pi z : Prf a. Prf (b z)$$

$$Prf (\forall a b) \leftrightarrow \Pi z : El a. Prf (b z)$$

$$El (\pi a b) \leftrightarrow \Pi z : Prf a. El (b z)$$

Example: natural numbers and lists

$\text{nat} : \text{Set}$	$+$: $El \text{ nat} \rightarrow El \text{ nat} \rightarrow El \text{ nat}$	$\text{list} : El \text{ nat} \rightarrow \text{Set}$
$0 : El \text{ nat}$	$x + 0 \hookrightarrow x$	$\text{nil} : El (\text{list } 0)$
$\text{succ} : El \text{ nat} \rightarrow El \text{ nat}$	$x + \text{succ } y \hookrightarrow \text{succ } (x + y)$	

$\text{cons} : \prod x : El \text{ nat}. El \text{ list } x \rightarrow El \text{ nat} \rightarrow El (\text{list } (\text{succ } x))$

$\text{concat} : \prod x, y : El \text{ nat}. El (\text{list } x) \rightarrow El (\text{list } y) \rightarrow El (\text{list } (x + y))$

- We have $\ell : El \text{ list } (\text{succ } 0) \vdash \text{concat } (\text{succ } 0) 0 \ell \text{ nil} : El \text{ list } (\text{succ } 0 + 0)$
- We have $[\vdash \text{succ } 0 + 0 : El \text{ nat}] \equiv [\vdash \text{succ } 0 : El \text{ nat}]$

Example: natural numbers and lists

$\text{nat} : \text{Set}$	$+$: $El \text{ nat} \rightarrow El \text{ nat} \rightarrow El \text{ nat}$	$\text{list} : El \text{ nat} \rightarrow \text{Set}$
$0 : El \text{ nat}$	$x + 0 \hookrightarrow x$	$\text{nil} : El (\text{list } 0)$
$\text{succ} : El \text{ nat} \rightarrow El \text{ nat}$	$x + \text{succ } y \hookrightarrow \text{succ } (x + y)$	

$\text{cons} : \prod x : El \text{ nat}. El \text{ list } x \rightarrow El \text{ nat} \rightarrow El (\text{list } (\text{succ } x))$

$\text{concat} : \prod x, y : El \text{ nat}. El (\text{list } x) \rightarrow El (\text{list } y) \rightarrow El (\text{list } (x + y))$

- We have $\ell : El \text{ list } (\text{succ } 0) \vdash \text{concat } (\text{succ } 0) 0 \ell \text{ nil} : El \text{ list } (\text{succ } 0 + 0)$
- We have $[\vdash \text{list } (\text{succ } 0 + 0) : \text{Set}] \equiv [\vdash \text{list } (\text{succ } 0) : \text{Set}]$

Example: natural numbers and lists

$\text{nat} : \text{Set}$	$+$: $\text{El nat} \rightarrow \text{El nat} \rightarrow \text{El nat}$	$\text{list} : \text{El nat} \rightarrow \text{Set}$
$0 : \text{El nat}$	$x + 0 \hookrightarrow x$	$\text{nil} : \text{El (list 0)}$
$\text{succ} : \text{El nat} \rightarrow \text{El nat}$	$x + \text{succ } y \hookrightarrow \text{succ } (x + y)$	

$\text{cons} : \prod x : \text{El nat. El list } x \rightarrow \text{El nat} \rightarrow \text{El (list (succ } x))$

$\text{concat} : \prod x, y : \text{El nat. El (list } x) \rightarrow \text{El (list } y) \rightarrow \text{El (list } (x + y))$

- We have $\ell : \text{El list (succ 0)} \vdash \text{concat (succ 0) 0 } \ell \text{ nil} : \text{El list (succ 0 + 0)}$
- We have $[\vdash \text{El (list (succ 0 + 0))} : \text{TYPE}] \equiv [\vdash \text{El (list (succ 0))} : \text{TYPE}]$

How to replace user-defined rewrite rules by equational axioms?

- In the signature: replace each user-defined **rewrite rule** $\ell \hookrightarrow r$ by an **equational axiom** $\ell = r$

- In the derivations: replace each use of the **conversion rule**

“from $t : A$ and $A \equiv B$ we get $t : B$ ”

by the insertion of a **transport**

“from $t : A$ and $p : A = B$ we get $\text{transp } p \ t : B$ ”

Outline

The $\lambda\Pi$ -calculus modulo theory

Syntax and type system

Prelude encoding

Equality

Equality between objects

Equality between types

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Conclusion

Equality? Equalities!

- In the $\lambda\Pi$ -calculus modulo theory, we have a hierarchy between
 - objects $u : A$
 - types $A : \text{TYPE}$

- We need two equalities:
 - one for **objects**
 - one for **types**

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Equality between objects

- **Heterogeneous**: to compare objects of different types [McBride, 1999]
- Notation: $u \approx_B v$ with $u : A$, $v : B$, $A : \text{TYPE}$ and $B : \text{TYPE}$
- Axioms for reflexivity, symmetry, transitivity

$$\text{refl}_A : \prod u : A. u \approx_A u$$

$$\text{sym}_{A,B} : \prod u : A. \prod v : B. u \approx_B v \rightarrow v \approx_A u$$

$$\text{trans}_{A,B,C} : \prod u : A. \prod v : B. \prod w : C. u \approx_B v \rightarrow v \approx_C w \rightarrow u \approx_C w$$

Axioms of equality between objects

- In the homogeneous case, it is a **Leibniz** equality

$$\text{leib}_A^{\text{Prf}} : \prod u, v : A. \prod p : u \approx_A v. \prod P : A \rightarrow \text{El } o. \text{Prf } (P u) \rightarrow \text{Prf } (P v)$$

$$\text{eqLeib}_A^{\text{Prf}} : \prod u, v : A. \prod p : u \approx_A v. \prod P : A \rightarrow \text{El } o. \prod t : \text{Prf } (P u). \\ \text{leib}_A^{\text{Prf}} u v p P t \text{Prf } (P v) \approx_{\text{Prf } (P u)} t$$

- Congruence for application

$$\text{app}_{A_1, A_2, B_1, B_2} : \prod t_1 : (\prod x : A_1. B_1). \prod t_2 : (\prod x : A_2. B_2). \\ \prod u_1 : A_1. \prod u_2 : A_2. \\ t_1 \approx t_2 \\ \rightarrow u_1 \approx u_2 \\ \rightarrow t_1 u_1 B_1[x \mapsto u_1] \approx_{B_2[x \mapsto u_2]} t_2 u_2$$

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Equality between types

- We **cannot** define an equality between types
↪ It would have type $\text{TYPE} \rightarrow \text{TYPE} \rightarrow \text{TYPE}$, which is ill-typed
- But we **can** compare objects of type *Set* or *El* *o* using \approx
- Intuition:

$\text{Prf } a \approx \text{Prf } b$ ✗	but	$a \approx b$ ✓
$\text{El } a \approx \text{El } b$ ✗	but	$a \approx b$ ✓
$\prod x : \text{El } a_1. \text{Prf } a_2 \approx \prod x : \text{El } b_1. \text{Prf } b_2$ ✗	but	??
$\text{Prf } a_1 \rightarrow \text{Prf } a_2 \approx \text{Prf } b_1 \rightarrow \text{Prf } b_2$ ✗	but	??

Transforming types

- Representing dependent types with \rightsquigarrow_d , \Rightarrow_d , π or \forall whenever possible

$$\nu(\text{Set}) := \text{Set}$$

$$\nu(\text{Prf } a) := \text{Prf } a$$

$$\nu(\text{El } a) := \text{El } a$$

$$\nu(\Pi x : A. B) := \begin{cases} \text{Prf } (a \Rightarrow_d (\lambda x : \text{Prf } a. b)) & \text{if } \nu(A) = \text{Prf } a \text{ and } \nu(B) = \text{Prf } b \\ \text{El } (a \rightsquigarrow_d (\lambda x : \text{El } a. b)) & \text{if } \nu(A) = \text{El } a \text{ and } \nu(B) = \text{El } b \\ \text{Prf } (\forall a (\lambda x : \text{El } a. b)) & \text{if } \nu(A) = \text{El } a \text{ and } \nu(B) = \text{Prf } b \\ \text{El } (\pi a (\lambda x : \text{Prf } a. b)) & \text{if } \nu(A) = \text{Prf } a \text{ and } \nu(B) = \text{El } b \\ \Pi x : \nu(A). \nu(B) & \text{otherwise} \end{cases}$$

- Using the four rewrite rules of Σ_{pre} , we have $A \equiv \nu(A)$

Small types

- **Small types:** types A such that $\nu(A)$ is defined and generated by

$$\mathcal{S} ::= \text{Set} \mid \mathcal{S} \rightarrow \mathcal{S}$$

$$\mathcal{P} ::= \text{Prf } a \mid \mathcal{P} \rightarrow \mathcal{S} \mid \Pi z : \mathcal{S}. \mathcal{P}$$

$$\mathcal{E} ::= \text{El } b \mid \mathcal{E} \rightarrow \mathcal{S} \mid \Pi z : \mathcal{S}. \mathcal{E}$$

- $\text{Set} \rightarrow (\text{Set} \rightarrow \text{Set})$ ✓
 $\text{Prf } a \rightarrow \text{Prf } b$ convertible with $\text{Prf } (a \Rightarrow_d (\lambda z : \text{Prf } a. b))$ ✓
 $\text{Prf } a \rightarrow \text{Set} \rightarrow \text{Prf } b$ ✗
- In practice, all types are small

Equality between small types

- Equality $\kappa(A, B)$ between **small types** A et B

$$\kappa(\text{Prf } a_1, \text{Prf } a_2) := a_1 \approx a_2 \quad \kappa(\text{El } a_1, \text{El } a_2) := a_1 \approx a_2 \quad \kappa(S, S) := \text{True if } S \in \mathcal{S}$$

$$\kappa(T_1 \rightarrow S, T_2 \rightarrow S) := \kappa(T_1, T_2) \text{ if } S \in \mathcal{S}$$

$$\kappa(\Pi z : S. T_1, \Pi z : S. T_2) := \Pi z : S. \kappa(T_1, T_2) \text{ if } S \in \mathcal{S}$$

- Example

$$\kappa(\Pi x : \text{Set}. \text{Prf } P \rightarrow \text{Prf } Q, \Pi x : \text{Set}. \text{Prf } R) := \Pi x : \text{Set}. (P \Rightarrow_d \lambda z : P. Q) \approx R$$

Axioms of equality between small types

- **Functional extensionality** with different domains

$$\begin{aligned} \text{fun}_{A_1, A_2, B_1, B_2} & : \quad \Pi f_1 : (\Pi x : A_1. B_1). \Pi f_2 : (\Pi y : A_2. B_2). \\ & \quad \kappa(A_1, A_2) \\ & \quad \rightarrow \Pi x : A_1. \Pi y : A_2. (x \approx y) \rightarrow (f_1 x \approx f_2 y) \\ & \quad \rightarrow f_1 \approx f_2 \end{aligned}$$

- If A is generated by \mathcal{S} , we simply have

$$\begin{aligned} \text{fun}_{A, B_1, B_2} & : \quad \Pi f_1 : (\Pi x : A. B_1). \Pi f_2 : (\Pi x : A. B_2). \\ & \quad (\Pi x : A. f_1 x \approx f_2 x) \\ & \quad \rightarrow f_1 \approx f_2 \end{aligned}$$

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- Prelude encoding

Equality

- Equality between objects

- Equality between types

Replacing rewrite rules by equational axioms

- Translation

- Main result

Conclusion

- Let $\Gamma \vdash t : A$ and $\Gamma \vdash p : \kappa(A, B)$ with A and B small types.

We can build a term $\text{transp } p \ t$ such that:

- $\Gamma \vdash \text{transp } p \ t : B$
 - $\Gamma \vdash \text{transp } p \ t \mathrel{B \approx_A} t$
- Idea of the translation: **insert** transports in the terms each time `CONV` is used

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- Translation of terms $\bar{t} \triangleleft t$ (" \bar{t} is a translation of t ")

$$\begin{array}{c} \frac{}{x \triangleleft x} \\ \frac{}{c \triangleleft c} \\ \frac{\bar{t} \triangleleft t \quad \bar{u} \triangleleft u}{(\lambda x : \bar{t}. \bar{u}) \triangleleft (\lambda x : t. u)} \\ \frac{\bar{t} \triangleleft t \quad \bar{u} \triangleleft u}{(\Pi x : \bar{t}. \bar{u}) \triangleleft (\Pi x : t. u)} \\ \frac{\bar{t} \triangleleft t \quad \bar{u} \triangleleft u}{(\bar{t} \bar{u}) \triangleleft (t u)} \\ \frac{\bar{t} \triangleleft t}{(\text{transp } p \bar{t}) \triangleleft t} \end{array}$$

No more conversion rules!

- Translation of contexts

$$\frac{}{\langle \rangle \triangleleft \langle \rangle} \quad \frac{\bar{\Gamma} \triangleleft \Gamma \quad \bar{A} \triangleleft A}{(\bar{\Gamma}, x : \bar{A}) \triangleleft (\Gamma, x : A)}$$

- **Equal translations**

If \bar{t} and \bar{t}' are two translations of t , then $\bar{t} \approx \bar{t}'$

- **Switching translations**

If $\bar{\Gamma} \vdash \bar{t} : \bar{A}$ and $\bar{\Gamma} \vdash \bar{A}' : \text{TYPE}$, then there exists $\bar{t}' \triangleleft t$ such that $\bar{\Gamma} \vdash \bar{t}' : \bar{A}'$

Translation of signatures

$$\overline{\langle \rangle} \triangleleft \langle \rangle \qquad \frac{\bar{\Sigma} \triangleleft \Sigma \quad \bar{A} \triangleleft A}{(\bar{\Sigma}, c : \bar{A}) \triangleleft (\Sigma, c : A)}$$

When $l, r : A$ with free variables $x : B$

$$\frac{\bar{\Sigma} \triangleleft \Sigma \quad \bar{l} \triangleleft l \quad \bar{r} \triangleleft r \quad \bar{B} \triangleleft B \quad \bar{A} \triangleleft A}{(\bar{\Sigma}, \text{eq}_{lr} : \Pi x : \bar{B}. \bar{l} \bar{A} \approx_{\bar{A}} \bar{r}) \triangleleft (\Sigma, l \leftrightarrow r)}$$

No more rewrite rules!

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From rewrite rules to axioms

Let a theory $\mathcal{T} = (\Sigma_{pre} \cup \Sigma_{\mathcal{T}})$ a theory with prelude encoding.

Suppose that all types are small.

- There exists a signature $\bar{\Sigma}_{\mathcal{T}} \triangleleft \Sigma_{\mathcal{T}}$ such that $\mathcal{T}^{ax} = (\Sigma_{pre} \cup \Sigma_{eq} \cup \bar{\Sigma}_{\mathcal{T}})$ is a theory
 - Σ_{pre} remains unchanged
 - Σ_{eq} is the signature defining the equalities
- For every $A \equiv B$ in \mathcal{T} with A and B small types, there exists some $p : \kappa(\bar{A}, \bar{B})$ in \mathcal{T}^{ax}
- For every $\Gamma \vdash t : A$ in \mathcal{T} , we have $\bar{\Gamma} \vdash \bar{t} : \bar{A}$ in \mathcal{T}^{ax}

- $\bar{\Sigma}_{\mathcal{T}}$ is a fully **axiomatized** user-defined signature
 - ↪ The only rewrite rules in \mathcal{T}^{ax} are the 4 of the prelude encoding
- Conservativity: \mathcal{T} is **conservative** over \mathcal{T}^{ax}
- Relative consistency: if \mathcal{T}^{ax} is **consistent** then \mathcal{T} is also consistent

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- Logical framework
 - Theories can be defined by users using typed constants and rewrite rules
 - Many theories **can** be expressed
 - Examples: Predicate Logic, Calculus of Constructions

- Minimal logical framework
 - Finite hierarchy of sorts and no identity types
 - Heterogeneous equality between objects
 - **Difficult** to define an equality between types

Replacing rewrite rules by equational axioms

- Considered theories
 - Prelude encoding
 - Small types
 - ↔ In practice, **always** the case
- User-defined rewrite rules **can** be replaced by equational axioms
- Application: interoperability between proof systems via DEDUKTI